

## Gray Matter in First-Episode Schizophrenia Before and After Antipsychotic Drug Treatment. Anatomical Likelihood Estimation Meta-analyses With Sample Size Weighting

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**Background.** Cerebral morphological abnormalities in schizophrenia may be modulated by treatment, chronicity, and duration of illness. Comparing brain imaging studies of individuals with first-episode schizophrenia and neuroleptic naive (NN-FES) with that of their neuroleptic-treated counterparts (NT-FES) can help to dissect out the effect of these potential confounders. **Methods.** We used the anatomical likelihood estimation method to compare voxel-based morphometric studies of NN-FES ( $n = 162$  patients) and NT-FES ( $n = 336$  patients) studies. The analysis included a sample size weighting step based on the Liptak-Stouffer method to reflect the greater power of larger studies. **Results.** Patient samples were matched for age, gender, and duration of illness. An extensive network of gray matter deficits in frontal, temporal, insular, striatal, posterior cingulate, and cerebellar regions was detected in the NN-FES samples as compared with healthy controls. Major deficits were detected in the frontal, superior temporal, insular, and parahippocampal regions for the NT-FES group compared with the NN-FES group. In addition, the NT-FES group showed minor deficits in the caudate, cingulate, and inferior temporal regions compared with the NN-FES group. There were no regions with gray matter volumetric excess in the NT-FES group. **Conclusion.** Frontal, striato-limbic, and temporal morphological abnormalities are present in the early stage of schizophrenia and are unrelated to the effects of neuroleptic treatment, chronicity, and duration of illness. There may be dynamic effects of treatment on striato-limbic and

temporal, but not frontal, regional gray matter volumes of the brain.

**Key words:** meta-analysis/neuroleptic naive/subtraction analysis/brain structure/voxel-based morphometry/ALEn

### Introduction

Modern research has had some success in deconstructing the neurobiology of schizophrenia, partly fuelled by the advent of magnetic resonance imaging (MRI). The enormous effort in striving for this “Holy Grail of biological psychiatry”<sup>1</sup> can be seen from the volume of MRI-related publications in schizophrenia which now averages about 400 annually (PubMed search accessed June 15, 2009). In piecing together the complex puzzle of brain abnormality in schizophrenia, the majority of studies have focused on chronically ill populations, but more recently, attention has turned to newly diagnosed, minimally, or never-treated patients with this disorder.<sup>2,3</sup> Strategically, recruiting such individuals helps to reduce confounders such as age of onset, illness duration, and treatment that may dilute the neuropathological findings in schizophrenia.<sup>4,5</sup> In other words, the ideal participant in MRI research would be in his or her first episode of schizophrenia (FES).

With the plethora of structural brain findings in schizophrenia comprising reduced brain volume, lateral ventricular enlargement, frontal, temporal, limbic, and subcortical deficits,<sup>6-10</sup> integration and characterization of all this information is formidable. Meta-analysis is a statistical tool which conveniently synthesizes these findings. Meta-analytic approaches can be divided into 2 types according to the data collected: region of interest (ROI) and voxel-based morphometry (VBM). Conventional effect-size meta-analyses of ROI studies consolidate studies based on manual tracing of targeted brain regions. These generally agree that there is significant lateral ventricular enlargement along with smaller volumes of whole brain and hippocampus in patients in their FES compared with healthy controls.<sup>11,12</sup> On the other

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hand, meta-analysis of VBM studies which evaluate each voxel or “volume element” across the whole brain has revealed an extensive network of gray matter deficits in FES compared with healthy controls, including frontostriatal temporal regions and insula.<sup>13,14</sup>

However, even in studies of FES, it is not always possible to rule out brain changes partly due to drug treatment because excluding any prior exposure to antipsychotic medication is difficult in practice.<sup>11</sup> Antipsychotic treatment is often started at the time of diagnosis, and hence, the sample size in purely neuroleptic-naïve (NN)-FES studies is usually small,<sup>15</sup> although Lui *et al*<sup>16</sup> successfully recruited a particularly large NN-FES sample comprising 68 individuals. Moreover, evidence that neuroleptics can alter brain structure has accumulated<sup>17–19</sup> and underscores the basal ganglia as the principal dopamine receptor-rich site and target of antipsychotic action.<sup>18,20,21</sup> Indeed, first-time exposure to antipsychotic treatment in NN-FES for as short as 3-week duration has an incremental effect on caudate size<sup>22</sup> and increases thalamus volume by 8 weeks.<sup>23</sup> In addition, 4- to 6-week treatment with risperidone has been reported to cause gray matter increase in superior and middle temporal gyrus and decrease in left frontal lobe and rectal gyrus.<sup>24</sup> This early effect on brain structure is consistent with substantial evidence that the maximal clinical efficacy may be at the third and fourth weeks of treatment,<sup>25</sup> or even earlier at the first and second weeks.<sup>26</sup>

Thus, the neuroleptic effect on brain volume and psychotic symptoms in schizophrenia may be prominent as early as 1 month after drug treatment. Even with an average treatment duration as brief as 1.6 months<sup>27</sup> or 1.7 months,<sup>28</sup> it is still possible that neuroleptic-related brain changes would already be impossible to exclude. This makes it difficult to separate neurotoxic changes relating to the disorder *per se*, from neuroplastic or other changes caused by pharmacotherapy. In those studies that have successfully recruited individuals with NN-FES, some discrepancies remain: for example, thalamic deficits in FES were only found in males<sup>15</sup> but not in other NN-FES studies.<sup>6,16,29,30</sup> Insular deficits were detected by some<sup>29,31</sup> but not all studies.<sup>6,16</sup>

Thus, it is possible that an admixture of NT and NN individuals might hamper the interpretation of previous meta-analyses of FES. Over time, it has become more likely that samples of patients with NN-FES be included in meta-analytic studies. Honea *et al*<sup>32</sup> included one study of NN-FES<sup>15</sup> and 2 studies with neuroleptic-treated patients (NT-FES).<sup>28,33</sup> Ellison-Wright *et al*<sup>14</sup> included 3 NN-FES studies<sup>6,15,31</sup> and 4 NT-FES studies.<sup>27,28,33,34</sup> However, as more studies of NN patients have been carried out in the interim, it seems timely to attempt to isolate the effect of early drug treatment from FES itself.

The anatomical likelihood estimation (ALE) approach permits automated meta-analysis of either functional or structural voxel-based neuroimaging data sets.<sup>35,36</sup> In

synthesizing data generated from VBM studies, ALE attempts to identify the regions most consistently implicated across all VBM studies of the relevant disorder. We and others have described its successful application in meta-analyses of attention deficit hyperactivity disorder (ADHD),<sup>37</sup> depression,<sup>38</sup> and schizophrenia.<sup>13,14,39–41</sup> The basis of ALE is to create a Gaussian probability distribution around the coordinates reported in individual VBM studies.<sup>36</sup> In this way, the probability that any given voxel is implicated in the target condition can be estimated. Essentially, ALE will merge coordinates from different studies that are spatially close. The resultant clusters therefore reflect brain regions most often reported or “common” to the majority of studies. A useful extension of ALE is that a subtraction analysis can be carried out. For example, previous ALE studies of schizophrenia estimated the progression of brain pathology by “subtracting” FES data from data generated in studies of chronic schizophrenia.<sup>13,14</sup>

However, ALE may have a disadvantage when studies of different sample sizes are treated equally. In allocating the same weight to coordinates from every constituent study, regardless of the study sample size, ALE is excessively “democratic.” Indeed, this issue was also recently highlighted by Ellison-Wright *et al.*<sup>37,42</sup> In general, increasing sample size improves statistical power by reducing the standard error of the sample mean so ideally, larger studies deserve more weight in an analysis. In an elegant solution to this problem,<sup>37,42</sup> the sum-rank method from genome scan meta-analysis was adapted to rank VBM data according to sample size and generate “sum-rank images” for a nonparametric permutation analysis.<sup>43</sup> However, to date, weighted data have not been entered into “subtraction” analyses.

In the current study, we propose an alternative approach to sample size weighting which for convenience we refer to as “ALEn” (anatomical likelihood estimation weighted by number of subjects). The height of each Gaussian distribution was directly modulated by the square root of the size of the study sample<sup>37,42,44</sup> contributing at that coordinate. Any deviation from null hypothesis was identified by statistically comparing the weighted ALE map to simulated maps of random foci assigned the same weights. The advantage of this approach is that it can be readily incorporated into the ALE subtraction analysis. In the present study, we applied ALEn to evaluate brain morphological features of first-episode schizophrenia. The first meta-analysis summarizes differences in NN-FES compared with healthy controls, that is, the effect of schizophrenia on regional gray matter volumes unconfounded by neuroleptic treatment and illness chronicity. Next, we conducted a meta-analysis of VBM studies of NT-FES compared with healthy controls. Finally, we carried out a weighted “subtraction analysis” to evaluate the difference between NT and NN patients and thereby characterize the impact of drug treatment on brain structure in FES.

## Methods

### Data Sources

A systematic search was performed in the PubMed and MEDLINE database to identify VBM studies that compared gray matter volumetric differences in patients with FES (NN, NT) and typically developing controls. The search keywords “voxel-based,” “morphometry,” or “morphometric” and “first-episode schizophrenia” or “schizophrenia” were used in all possible combinations to include all possible relevant studies for selection. In addition to the computerized search, the most recent titles published in advance from psychiatric journals (including *American Journal of Psychiatry*, *Archives of General Psychiatry*, *Schizophrenia Bulletin*, *Schizophrenia Research*, and *Biological Psychiatry*) in the year of 2008 and January 2009 were screened manually to identify suitable articles for inclusion. The reference sections of all the articles identified were also searched and the reference lists of 6 key reviews and meta-analyses of VBM studies<sup>13,14,32,39,45,46</sup> were cross-referenced.

The inclusion criteria were (1) full research articles published in the English language, (2) VBM methods used for whole-brain analysis, (3) comparison with healthy control group in at least 1 cross-sectional analysis, and (4) coordinates of gray matter differences reported in stereotactic space, or else the corresponding author was contacted by e-mail for further details. FES was defined by the authors (the longest mean duration of illness reported was 2.5 years<sup>65</sup>). NN patients were defined as without any prior antipsychotic/neuroleptic exposure (not even within a day or 2 of the scan) and no history of substance abuse before and/or at the time of MRI scan, whereas NT patients had prior antipsychotic/neuroleptic treatment before the time of MRI scan. For studies using overlapping samples, the one with the largest number of subjects<sup>34</sup> or in which the goal of the study most matched the goal of the current meta-analysis<sup>33</sup> was included. Meda et al<sup>29</sup> comprised 200 patients from 4 hospitals, and only the analysis of NN-FES samples from the Western Psychiatric Institute and Clinic at the University of Pittsburgh was included. Fifteen studies met the inclusion criteria. Six of them recruited NN-FES ( $n = 162$ ) while the other 9 studies recruited NT-FES ( $n = 336$ ).

### ALE Methodology

**Statistics and Weighting.** Studies were grouped into either NN group or NT group. In each group, a master list of foci was created by tabulating the Talairach coordinates of the peak maxima of regional differences reported in all constituent studies, with one focus per row. Coordinates reported in MNI format were transformed into Talairach using the “Lancaster transform,” icbm2tal programme from GingerALE.<sup>47</sup> Coordinates

that had been transformed to Talairach space by the Brett transformation were transformed back to the original MNI<sup>48</sup> and reconverted to Talairach using icbm2tal. For each focus, a weighting factor was calculated, based on the study sample size ( $N$ ) following the Liptak-Stouffer method<sup>44</sup>:

$$\text{Weighting} = \frac{W_i}{\sqrt{\sum_{i=1}^k W_i^2}},$$

where  $W_i = \sqrt{N_i}$ ,  $N_i$  is the sample size of the current study and  $k$  is the total number of studies.

**Construction of Weighted ALE Maps, Histogram Generation, and Probability Estimation.** Using downloaded software for ALE<sup>36</sup> (<http://csl.georgetown.edu/software/>), the probability of locating each coordinate obtained from the master list of included studies was modeled with a 3-dimensional Gaussian distribution, with a full-width half-maximum of 8 mm.<sup>36</sup> The height of this distribution was then modulated with the corresponding sample size weighting of each focus and a weighted ALE map was constructed. The weighted ALE map was compared with 5000 randomly generated maps to test for deviation from null hypothesis.<sup>35</sup> These randomly generated maps had the same number of foci, and same weightings as the actual master list, but with coordinates randomly selected. Thus, the probability of voxels on the resultant ALE map having a particular intensity by chance could be tested. The probability map was thresholded by setting the false discovery rate (FDR) at  $P < .05$ . Subsequently, a cluster filter of minimal size of 150 contiguous voxels was applied.<sup>35,36</sup> These final steps of thresholding and reporting resultant clusters were done using the most recent version of GingerALE software (<http://www.brainmap.org/ale/>).

**Subtraction Analysis.** Subtraction analysis allows comparison of differences in brain anatomy between 2 different ALE paradigms.<sup>13,14,41,45</sup> In this study, subtraction analysis between NN and NT was done to identify the effect of medication on FES. Because the number of foci of NN (74 foci) and NT (122 foci) was not balanced, a “normalization” procedure is needed to balance the subtraction. In nonweighted ALE, normalization can be done by equalizing the number of foci contributed from each paradigm—foci are picked randomly from the bigger paradigm to match the foci number of the smaller paradigm.<sup>14</sup> In the current weighted ALE subtraction, normalization was achieved by balancing the total weightings from each paradigm (NN-FES and NT-FES). This had the advantage of using all the foci information from contributing studies. The sum of weights of foci in NN-FES paradigm was 26.52 units

**Table 1.** Details of the NN and NT-FES Studies Included in the Meta-analysis

FES Study	Total Number of Subject		% of Male Subject		Mean Age		Mean Duration of Illness (Months)	Number of Foci of GM Deficits
	FES	Control	FES	Control	FES	Control		
<b>NN</b>								
Meda et al <sup>29</sup>	22	21	64	62	25	26	—	31
Lui et al <sup>16</sup>	68	68	44	46	24	25	8.6	3
Chua et al <sup>6</sup>	26	38	42	45	32	33	4.0	10
Jayakumar et al <sup>31</sup>	18	18	50	50	25	26	10.3	13
Salgado-Pineda et al <sup>15</sup>	13	13	100	100	24	23	—	15
Prasad et al <sup>30a</sup>	15	7	73	43	26	24	27.8	2
Total/mean of NN-FES	162	165	62	58	26	26	[9.5]	74
<b>NT</b>								
Janssen et al <sup>98</sup>	25	51	76	69	15	15	3.8	2
Meisenzahl et al <sup>99</sup>	93	177	72	69	28	32	9.1	48
Yoshihara et al <sup>100</sup>	18	18	50	50	16	16	14	1
Douaud et al <sup>101</sup>	25	25	72	68	16	16	14.4	23
Kaspárek et al <sup>27</sup>	22	18	100	100	24	24	9.6	7
Schaufelberger et al <sup>64</sup>	62	94	71	56	28	30	6.3	6
Whitford et al <sup>34</sup>	41	47	63	70	20	19	<3	14
Job et al <sup>33</sup>	34	36	68	47	21	21	—	12
Kubicki et al <sup>28</sup>	16	18	88	89	26	24	—	9
Total/mean of NT-FES	336	484	73	69	22	22	[9.1]	122

Note: FES, first-episode schizophrenia; NN, neuroleptic naive; NT, neuroleptic treated; GM, gray matter. The values within the brackets indicate the median duration of illness (months).

<sup>a</sup>Only included participants who had been exposed to Herpes Simplex Virus 1.

while the sum of weights from the NT-FES paradigm was 48.13 units. Therefore, the individual foci weights in the NN-FES paradigm were “normalized” by a factor of 1.82. The 2 sets of foci were entered into subtraction analysis with opposite signs assigned. The significance of the resultant weighted ALE subtraction map was tested against a simulated ALE subtraction map generated from randomly selected foci allocated the same weights as the actual foci.

## Results

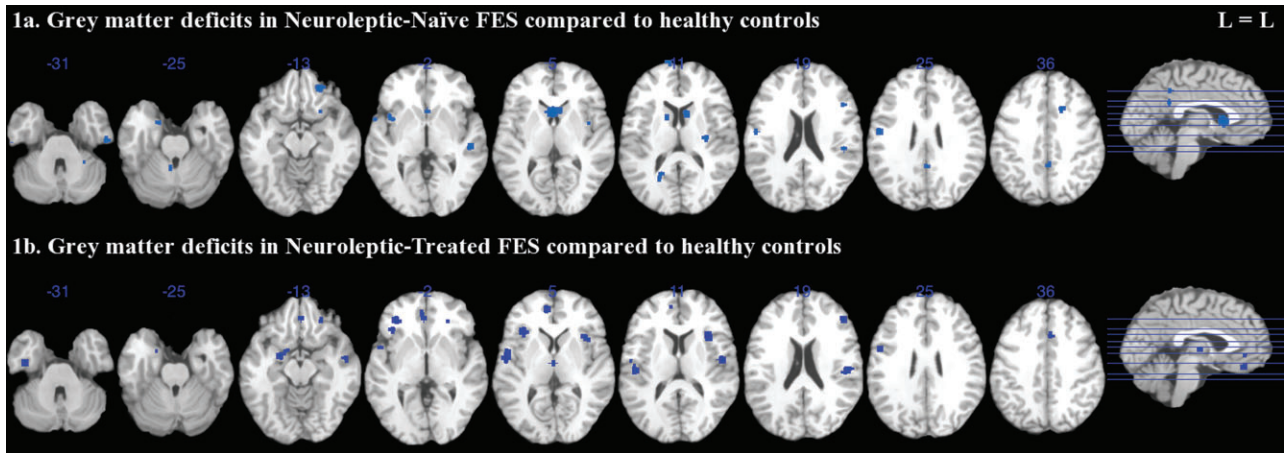
Table 1 summarizes the studies included in the analyses. Six studies reported 74 coordinates from a total of 162 patients with NN-FES and 165 healthy controls. Nine studies reported 122 coordinates from a total of 336 patients with NT-FES and 484 healthy controls. The percentages of male in all patient and healthy control groups were higher than that of female. The mean age of the patients and healthy controls was balanced about a mean of 26 years old for NN study and 22 years old for NT study. Thus, the data were relatively unconfounded by gender or age. Importantly, the median duration of illness in NN-FES samples was not significantly different from that reported in NT-FES samples, implying that differences in illness chronicity would not likely contribute to the results.

### Weighted Meta-analysis of VBM Studies in First-Episode Schizophrenia

**Gray Matter Deficits in NN-FES.** Lower gray matter volumes in NN-FES compared with controls were observed in bilateral caudate, insula, uncus, superior, and inferior temporal gyrus; left posterior cingulate, precentral and superior frontal gyrus, and culmen; and right cingulate, middle frontal, inferior frontal gyrus, claustrum, and cerebellar tonsil (see figure 1a, table 2).

**Gray Matter Deficits in NT-FES.** Gray matter volume in NT-FES was lower than controls in bilateral insula, medial frontal, inferior frontal, and superior temporal gyrus; left parahippocampal gyrus (amygdala), uncus, and anterior cingulate; and right thalamus, cingulate, precentral, and middle frontal gyrus (see figure 1b, table 2).

**Subtraction Analysis NT-FES Minus NN-FES.** Gray matter volume deficits were less extensive in NT-FES than NN-FES in bilateral caudate and inferior temporal gyrus; left posterior cingulate, precentral, superior frontal gyrus, and culmen; right cingulate, middle frontal, and superior temporal gyrus and claustrum. Conversely, gray matter volume deficits were more extensive in NT-FES than NN-FES in bilateral insula, medial frontal, and inferior frontal gyrus; left parahippocampal gyrus



**Fig. 1.** Gray Matter Deficits in Neuroleptic-Naïve and Neuroleptic-Treated FES Compared With Healthy Controls With ALEn. Significant clusters were thresholded with a false discovery rate  $P < .05$  and a cluster extent of 150 voxels. The left side (L) of each axial section represents the left side of the brain. FES, first-episode schizophrenia; ALEn, anatomical likelihood estimation weighted by number of subjects.

(amygdala) and superior temporal gyrus; and right precentral gyrus (see figure 2, table 3).

## Discussion

Our ALEn study builds on recent adaptations of the ALE method to allow weighting by sample size.<sup>37,42</sup> The key findings were that an extensive network of gray matter deficits in the frontal, temporal, insular, striatal, posterior cingulate, and cerebellar regions characterizes NN patients with schizophrenia compared with healthy controls. To our knowledge, this is the first ALE evaluation of brain morphology in schizophrenia free of confounds of both neuroleptic treatment and illness duration. We also conducted a meta-analysis of NT-FES studies. The results from a subtraction analysis of NT and NN-FES suggested that the gray matter volume deficit in a number of regions in patients with schizophrenia is minimized by neuroleptic treatment. This “reversal” was most evident in the striatum.

Our findings partly agree with those reported in a previous meta-analysis of FES using ALE.<sup>14</sup> We did not identify gray matter deficits in thalamus in NN-FES but found deficits in bilateral inferior and superior temporal gyrus. One explanation for this may be that NN and NT patients were previously included together.<sup>14</sup> Publication of more FES studies in the intervening period meant that we were able to group NN and NT-FES studies separately. In addition, we carried out a weighted analysis. Thus, our analysis suggests that gray matter abnormalities in thalamus are not especially prominent in the early stage of illness prior to treatment.

We found that, unlike NN patients, patients who had been treated with neuroleptic medication no longer had caudate volume deficits. Lower volumes in caudate nuclei have been consistently reported in FES studies, especially

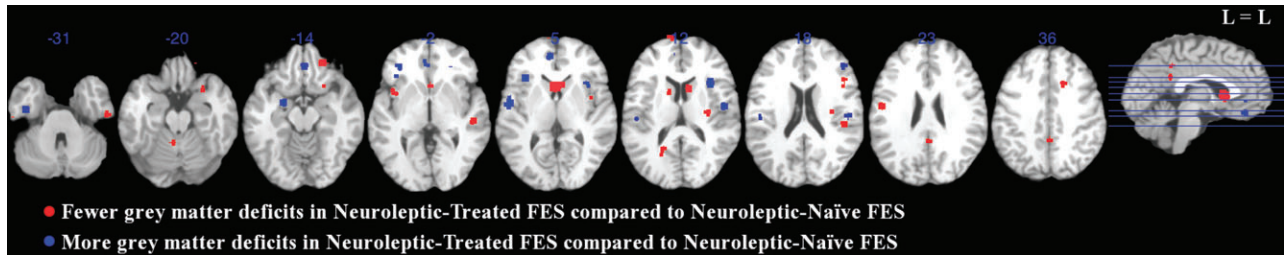
those with NN patients. Consistent with this, our ALEn analysis generated the largest cluster of significantly lower gray matter volume in NN-FES in the caudate. In all, 4 out of 6 of the NN-FES studies included in this meta-analysis contributed to this result.<sup>6,15,29,31</sup> This finding is also in accord with a number of ROI studies comparing NN-FES to controls showing that volume reduction in the basal ganglia is relatively specific to caudate nucleus rather than putamen,<sup>54–56</sup> though not all reports on NN-FES agree.<sup>57–59</sup> In contrast, NT-FES was associated with lower gray matter volume in the thalamus. This conflicts with a previous ROI study, suggesting thalamic enlargement related to use of atypical antipsychotic medication.<sup>21</sup> However, the present thalamus result did not survive subtraction analysis, suggesting that neuroleptic-related changes in thalamic volume are not especially prominent in VBM studies.

Caudate pathology and dysfunction in NN-FES may be clinically relevant. For example, abnormal spontaneous movements in NN patients with schizophrenia can be explained by basal ganglia dysfunction,<sup>60</sup> and chronically ill, NN patients may suffer tardive dyskinesia.<sup>61</sup> Moreover, volume reduction in the caudate nuclei in NN-FES appears to be significantly related to the severity of positive symptomatology and longer duration of untreated psychosis.<sup>62</sup> These data support the observation from Kestler et al<sup>63</sup> that “the initiation of neuroleptic treatment interrupts a neuropathological process that involves an age-related change in dopamine receptors.” While there is good consensus that dopamine dysregulation in striatal systems is strongly associated with schizophrenia,<sup>64</sup> possibly causing loss of initiative and drive commonly seen in schizophrenia,<sup>65</sup> more recently other neurochemical abnormalities in schizophrenia have been explored. In particular, a progressive reduction of serotonergic receptor density has been reported in the

**Table 2.** Significant Gray Matter Deficits in First-Episode Schizophrenia Compared With Healthy Controls With ALEn

Side	Brain Region	Coordinates			ALE Extrema Value	Cluster Size (mm <sup>3</sup> )
		<i>x</i>	<i>y</i>	<i>z</i>		
Gray matter deficits in neuroleptic-naive group						
Frontal lobe						
L	Precentral gyrus	-50	-10	24	0.0027	440
L	Superior frontal gyrus	-8	66	10	0.0021	320
R	Middle frontal gyrus	22	38	-14	0.0036	760
R	Inferior frontal gyrus extending to middle frontal gyrus	46	12	16	0.0017	288
		46	20	18	0.0017	
Temporal lobe						
R	Superior temporal gyrus	46	-30	16	0.0050	864
		50	-26	0	0.0051	816
L		-56	4	-4	0.0024	256
R	Inferior temporal gyrus	52	-20	-32	0.0026	384
L		-52	-22	-34	0.0028	320
Limbic lobe						
L	Uncus	-18	0	-22	0.0024	264
R	Uncus extending to inferior frontal gyrus	28	8	-20	0.0024	360
		22	14	-14	0.0014	
R	Cingulate gyrus	18	16	34	0.0050	864
		2	-48	26	0.0023	304
		2	-46	38	0.0024	248
L	Posterior cingulate	-20	-62	14	0.0018	304
		-18	-58	12	0.0017	
Subcortical region						
R	Caudate	10	10	12	0.0019	1936
L		0	12	4	0.0057	
R	Insula	40	0	4	0.0023	288
L		-36	4	0	0.0026	504
R	Clastrum	32	-16	14	0.0028	448
Cerebellum						
L	Culmen	-4	-50	-22	0.0024	296
R	Cerebellar tonsil	28	-42	-34	0.0023	280
Gray matter deficits in neuroleptic-treated group						
Frontal lobe						
R	Precentral gyrus	48	-10	12	0.0082	520
R	Medial frontal gyrus	2	36	-16	0.0078	480
L	Medial frontal gyrus extending to anterior cingulate	-6	48	8	0.0057	928
		-2	36	-2	0.0044	
R	Middle frontal gyrus	44	36	18	0.0059	304
R	Inferior frontal gyrus	24	34	-8	0.0115	832
L		-32	34	-4	0.0080	528
Temporal lobe						
R	Superior temporal gyrus	52	-8	-8	0.0085	560
L		-52	-8	4	0.0089	1088
Limbic lobe						
L	Parahippocampal gyrus (amygdala)	-20	-6	-14	0.0076	568
L	Uncus	-38	-14	-30	0.0077	448
R	Cingulate gyrus	4	16	38	0.0046	352
		4	12	42	0.0045	
Subcortical region						
R	Thalamus	2	-14	4	0.0048	352
R	Insula	34	16	12	0.0108	856
		50	-22	18	0.0056	456
L		-32	20	6	0.0083	808
		-48	-22	14	0.0066	504

Note: ALEn, anatomical likelihood estimation weighted by number of subjects; L, Left; R, Right.



**Fig. 2.** Gray Matter Deficits in Neuroleptic-Treated FES Compared With Neuroleptic-Naïve FES by Subtraction Analysis with ALEn. Significant clusters were thresholded with a false discovery rate  $P < .05$  and a cluster extent of 150 voxels. The left side (L) of each axial section represents the left side of the brain. FES, first-episode schizophrenia; ALEn, anatomical likelihood estimation weighted by number of subjects.

caudate of individuals at risk of schizophrenia who later converted to FES but not in nonconverters.<sup>66</sup> Together, the evidence suggests that caudate pathology is intrinsic to the pathology of schizophrenia,<sup>67</sup> and MRI measures early in the disease process have been suggested to constitute a possible biomarker.<sup>6,22,23</sup>

Our subtraction analysis by ALEn revealed significantly larger caudate volumes in NT-FES compared with NN-FES, suggesting that the bilateral caudate deficits observed in NN-FES may “reverse” in NT-FES. Also, the meta-analysis of NT-FES studies alone did not show any significant gray matter deficits in bilateral caudate nucleus (figure 1b). Although caudate volumes have been reported to expand following typical drug treatment for 10 months<sup>18</sup> and 4 years,<sup>19</sup> the only VBM study reporting gray matter excess in NT-FES localized it to the frontal and occipital lobes but not in the caudate nucleus.<sup>34</sup> This may be consistent with a “normalizing” rather than “hypertrophic” influence of antipsychotic treatment on caudate volume.<sup>22</sup> However, our study aimed to capture changes over short periods of treatment, and longer duration of medication has been reported to cause basal ganglia enlargement.<sup>18,19</sup> It has also been suggested that typical and atypical antipsychotics may have differential effects on the basal ganglia structure.<sup>17,68</sup> On average, more patients in the studies included were treated with atypical (approximately 76%) than typical antipsychotics. Even so, no gray matter excesses in caudate were found in the one study which had more subjects treated with typical (69%) than atypical antipsychotics (31%).<sup>53</sup> Thus, although the exact mechanism of volume change in basal ganglia is still unknown,<sup>69</sup> typical and atypical drugs are all dopamine antagonists which may contribute to neuroplastic change in the acute phase of treatment.

Our meta-analysis collated VBM data from a large number of mostly male patients and suggested that the bilateral insular volumes were lower in NN patients with FES relative to healthy controls. Several ROI studies have also reported that insular volume deficits already exist at early stages in schizophrenia, but the results have been inconsistent. One reason for this may be gender effects. In an ROI study of males with NN-FES, signif-

icant reduction in gray matter volume was found in left insular cortex.<sup>70</sup> In other ROI studies with predominantly male participants, bilateral insular reduction was observed.<sup>29,71</sup> However, when equal numbers of male and female NN participants with FES were included, significantly smaller volumes were measured in the right insula in female patients only<sup>72</sup> making the gender effect difficult to interpret.

Complicating this are observations that symptom severity is related to insula volume measurements. A recent MRI study showed that psychotic patients had smaller anterior insular cortices at the early phase of disease and continued to lose insular gray matter as the illness progressed, and this correlated with severity of positive and negative symptoms.<sup>73</sup> This negative correlation between insular volume and psychotic symptoms has been replicated in previous ROI studies.<sup>70,72</sup> Subtraction analysis revealed more extensive bilateral insular deficits in NT-FES compared with NN-FES, suggesting that the deficits observed in NN-FES were not “reversing” in NT-FES. Conversely, the gray matter deficits in the bilateral insula persisted or even became more extensive in the medicated group. Potentially, the insula is very vulnerable in schizophrenia, and some evidence supporting this comes from readily detectable gray matter deficits in people at high risk of schizophrenia.<sup>13,74</sup>

Consistent with Ellison-Wright et al<sup>14</sup> we found bilateral gray matter deficits in uncus/amygdala region common to NN-FES studies. The uncus is anatomically closely related to the amygdala,<sup>75</sup> and they are sometimes examined together as one ROI. However, the amygdala findings in schizophrenia have been contradictory.<sup>76</sup> This may be partly due to the challenges of data confounders. For example, bilateral amygdala deficits in a group of mixed sex NN patients with FES<sup>77</sup> has been reported, but Gur et al<sup>78</sup> have noted a gender effect on the size of amygdala in patients with schizophrenia such that women with FES have larger size and men with FES have smaller size compared with healthy controls.

In terms of drug effect on the amygdala, our subtraction analysis revealed significantly smaller left uncus/amygdala volume in FES after medication. In other words, only the right uncus/amygdala deficit was

**Table 3.** Significant Gray Matter Deficits in NT Compared With NN First-Episode Schizophrenia by Subtraction Analysis With ALEn

Side	Brain Region	Coordinates			ALE Extrema Value	Cluster Size (mm <sup>3</sup> )
		<i>x</i>	<i>y</i>	<i>z</i>		
Gray matter deficits: NT < NN group						
Frontal lobe						
L	Precentral gyrus	-50	-10	24	0.0133	400
L	Superior frontal gyrus	-8	66	10	0.0105	320
R	Middle frontal gyrus	22	38	-14	0.0172	568
R	Middle frontal gyrus extending to inferior frontal gyrus	46	20	18	0.0085	256
R	Inferior frontal gyrus extending to uncus	46	12	16	0.0087	
		22	14	-14	0.0076	296
		28	8	-20	0.0103	
Temporal lobe						
R	Superior temporal gyrus	50	-26	0	0.0143	352
		46	-30	16	0.0130	248
R	Inferior temporal gyrus	52	-20	-32	0.0130	384
L		-52	-22	-34	0.0142	312
Limbic lobe						
R	Cingulate gyrus	18	16	34	0.0142	360
		2	-46	38	0.0103	176
		2	-48	26	0.0097	160
L	Posterior cingulate	-18	-58	12	0.0087	296
		-20	-62	14	0.0090	
Subcortical region						
R	Caudate	10	10	12	0.0106	1992
L		0	12	4	0.0276	
L		-12	6	12	0.0095	264
R	Insula	40	0	4	0.0097	160
L		-38	6	0	0.0114	408
R	Clastrum	32	-16	14	0.0140	440
Cerebellum						
L	Culmen	-4	-50	-22	0.0102	200
Gray matter deficits: NT > NN group						
Frontal lobe						
R	Precentral gyrus	48	-10	12	0.0143	432
L	Medial frontal gyrus extending to anterior cingulate	-6	48	8	0.0098	632
		-2	36	-2	0.0077	
R	Medial frontal gyrus	2	36	-16	0.0135	384
R	Middle frontal gyrus	44	36	18	0.0112	280
R	Inferior frontal gyrus	24	34	-6	0.0185	512
L		-32	34	-4	0.0151	488
Temporal lobe						
R	Superior temporal gyrus	52	-8	-8	0.0154	472
L		-52	-8	4	0.0156	792
Limbic lobe						
L	Parahippocampal gyrus (amygdala)	-20	-6	-14	0.0132	408
L	Uncus	-38	-14	-30	0.0134	376
Subcortical region						
R	Insula	34	16	12	0.0187	776
		40	12	4	0.0075	
		50	-20	18	0.0098	280
		-34	22	4	0.0145	664
L		-48	-22	14	0.0111	296

Note: ALEn, anatomical likelihood estimation weighted by number of subjects; NN, neuroleptic naive; NT, neuroleptic treated; L, Left; R, Right.

“reversed” by medication but not the left side. This is also consistent with Ellison-Wright’s previous finding of progressive reduction in left uncus/amygdala with illness chronicity and medication.<sup>14</sup> In general, left amygdala

pathology seems more pronounced than right in schizophrenia<sup>77,79–81</sup> and in young offspring of schizophrenia patients<sup>82</sup> left amygdala volume negatively correlated with memory impairment in schizophrenia,<sup>83</sup> and



hypoactivation in the left amygdala and bilateral hippocampus of patients compared with healthy controls has been observed during an emotional valence task.<sup>84</sup> These are reminiscent of Reynolds's seminal findings of raised dopamine levels in the amygdala, left greater than right, in postmortem brains in schizophrenia.<sup>85</sup> Conversely, only a slight increase in dopamine in the caudate nuclei was found, and thus, he concluded that amygdala dopamine excess was more likely to be related to the illness rather than to neuroleptic treatment in this chronically treated population.<sup>85</sup>

There were considerable gray matter deficits found in the frontal lobe in NN-FES compared with control groups. These ubiquitous frontal abnormalities in the early stage of schizophrenia should therefore reflect disease-related pathology unconfounded by medication. A recent ROI study of NN-FES showed differential volume and thickness deficits in various regions of the prefrontal cortex (PFC). Damage to this region is thought to result in impaired social functioning and working memory, core dysfunctions in schizophrenia.<sup>86</sup> Many functional studies have replicated a finding of reduced activation in NN-FES compared with controls in the PFC during working memory tasks.<sup>87-90</sup> The significant reduction of gray matter volume in PFC in schizophrenia may contribute an anatomical basis to such under-activations in patients with NN-FES and may help to explain their impaired ability to encode information into working memory, even at an early stage of the illness.<sup>86,91</sup>

Frontal volumetric abnormalities were also observed in the treated group and might be related to the eventual development of negative symptoms, also unresponsive to drug treatment and characteristically associated with smaller frontal volume.<sup>92,93</sup> Indeed, rather than a "reversal" of volume deficits following drug treatment, subtraction analysis revealed significantly more extensive gray matter volume deficits in bilateral medial frontal and inferior frontal gyrus in NT-FES compared with NN-FES. Similarly, longitudinal functional studies before and after 6 weeks of atypical treatment in NN-FES have found that pretreatment cognitive deficits are exacerbated by antipsychotics.<sup>86,91</sup> The authors suggested that this adverse cognitive effect of antipsychotics might be related to changes in prefrontal dopaminergic systems particularly the D1 receptor system. Even 4 weeks of atypical treatment did not improve hypoactivation in dorso-lateral prefrontal cortex in FES.<sup>94</sup> However, the literature is not entirely consistent on the direction of changes following treatment and associated symptomatic improvement. Others have found that drug-induced decreases in frontal and hippocampal activity are linked with fewer delusions and hallucinations<sup>95</sup> while clinical improvement is associated with gray matter reduction in childhood-onset schizophrenia.<sup>96</sup> Therefore, it seems that the impact of antipsychotic treatment on anatomical or functional

abnormalities in the frontal lobe in schizophrenia needs further evaluation.

### *Study Limitations*

First, in our study as in all meta-analyses, a major limitation is the "file drawer" problem. That is, studies which find no significant differences are less likely to be reported. In ALE, even if studies finding no differences between groups are published, only those listing foci can be incorporated into the analysis. For example, Prasad et al<sup>30</sup> studied subjects with and without viral markers and found no significant gray matter differences only among the patients with FES and controls who do not have the viral markers; however, this kind of result cannot be represented by ALE. Thus, published studies and meta-analyses on schizophrenia may overpromote the assumption that there must be gray matter abnormalities in schizophrenia. Related to this, it is possible that there was some selection bias in the original studies. For example, never-treated FES patients may show more negative symptoms or less aggression than treated patients; this can potentially influence within-scanner cooperation and may lead to selection bias as a study limitation.

A second issue is that meta-analyses should ideally take into consideration the significance level of the contributing results. However, there is no common reporting system for VBM studies. Some studies report the *T* value for individual peak maxima and some do not; some report *P* values corrected for multiple comparison and some report uncorrected *P* values. While sample size can reflect the power of a result, difficulties arise with unbalanced designs, where the total number of patients and controls in the original study is large but number in one group far outweighs the other.

Third, VBM methodology changes over time. Voxel-wise gray matter differences may be quantified in terms of intensity or modulated to yield volume measures but attention has only lately come to focus on the extent these shifts impact upon the results reported.<sup>42</sup> Many other factors, including smoothing kernel, small volume correction statistics and thresholding of the spatial extent of clusters can influence how coordinates are generated and reported in original VBM studies,<sup>32,35,45,97</sup> and this has led to a call for more "rigorous standards of data reporting" which we would echo here.<sup>35,45</sup>

Forth, approximately 20% of the NT-FES subjects were under 18 years old, who may undergo an early-onset pathology and have faster gray matter loss compared with those who were above 18.<sup>98</sup> Finally, we are aware of the recent debate on the relative efficacy of first- and second-generation antipsychotics,<sup>99,100</sup> but this meta-analysis is not able to address their relative contribution to brain morphology in this meta-analysis because the number of studies did not permit separation into these categories.

In conclusion, we performed an ALE meta-analysis of first-episode schizophrenia, weighted for sample size differences between constituent studies, and found marked frontotemporal including insular gray matter volume deficits in the NT condition compared with the NN one. There were only minor deficits in gray matter in the striato-limbic-temporal regions in the NT condition. Taken together, these findings suggest that prior to neuroleptic treatment, there is lower striatal volume, which may possibly “reverse” following treatment. In addition, our data suggest that frontal regions are less prone to dynamic volume change than striatal, limbic, and temporal regions in the early phase of treatment. This concurs with the existing literature in which frontal gray matter volume is relatively lower in first-episode patients in the early weeks of treatment<sup>23</sup> with frontal involvement being particularly marked within the first year of psychosis.<sup>101</sup> Following the acute phase of treatment for positive symptoms, there may be unmasking of negative symptoms which are conventionally associated with reduced frontal lobe volume and less amenable to treatment.<sup>92,93</sup> Taken together, antipsychotic drugs may unmask or even induce negative symptoms which are in turn related to cerebral gray matter loss especially in the first year. These findings indicate that the early phase of treatment deserves fuller exploration to determine how the balance of symptom control and cerebral gray matter integrity can be optimized in the first episode of schizophrenia.

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